ATTACHMENT 3.1-B (Attachment #13.c) Page 2

42 CFR 440.130 OTHER DIAGNOSTIC AND PREVENTIVE SERVICES

# Diabetes Self Management Training (continued)

- Repeat of any or all of a diabetes self management program is limited to 7. new conditions or alteration of health status that warrants the need for new training.
- Home Health Agency participation in diabetes self management is limited 8. to providing service to the homebound patient, who is receiving other skilled services in the home based on physician order and plan of care.
- Diabetes self management service provided by a home health agency must be provided only by a licensed RN or dietitian certified or recognized 9. by an American Diabetes Association (ADA) program or Utah Department of Health.

42 CFR 440.140

# SERVICES FOR INDIVIDUALS AGE 65 OR OLDER IN INSTITUTIONS FOR MENTAL DISEASE (IMD)

### **LIMITATIONS**

- Services for individuals age 65 or older in an institution for 1. mental disease are a benefit of the Medicaid program in a hospital licensed as a Specialty Hospital - Psychiatric, under the authority of Utah Administrative Code R432-101. Services must be provided under the direction of a physician.
- 2. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
  - that the proposed services are medically appropriate; and a.
  - that the proposed services are more cost effective than b. alternative services.

Attachment 3.1-B (Attachment #15-a)

42 CFR 440.150

Intermediate care facility services (other than services in an institution for mental diseases) for persons determined, in accordance with section 1902(a)(31)(A) of the Act, to be in need of such care.

In accordance with section 1919(f)(7) of the Act, personal hygiene items and services may be charged to the patient's personal needs fund. The following limitations apply.

# Limitations

- 1. The following personal hygiene items and services may, at the request of the patient or the patient's advocate, be charged to the patient's personal needs fund:
  - a. Personal grooming services such as cosmetic hair and nail care;
  - b. Personal laundry services;
  - c. Specific brands of shampoo, deodorant, soap, etc., requested by the patient or patient's advocate and not ordinarily supplied by the nursing home as required in 2(a) and (b) below.
- 2. In accordance with State Plan amendment 4.19-D, Nursing Home Reimbursement, the following personal hygiene items and services may not be charged to the individual's personal needs fund:
  - a. Items specific to a patient's medical needs, such as protective absorbent pads (such as Chux), prescription shampoo, soap, lotion, etc.
  - b. General supplies needed for personal hygiene such as tooth paste, shampoo, facial tissue, disposable briefs (diapers), etc.

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T.N. # WEW
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42 CFR 440.160

# INPATIENT PSYCHIATRIC FACILITY SERVICES FOR INDIVIDUALS UNDER 21 YEARS OF AGE

# **LIMITATIONS**

- 1. Inpatient psychiatric services for individuals under age 21 are a benefit of the Medicaid program only for care and treatment provided under the direction of a physician in a hospital licensed as a Specialty Hospital Psychiatric, under the authority of Utah Administrative Code R432-101, 1992 as amended.
- 2. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
  - a. that the proposed services are medically appropriate; and
  - b. that the proposed services are more cost effective than alternative services.

# SCOPE OF SERVICES

# INTENSIVE SKILLED CARE (NURSING HOMES)

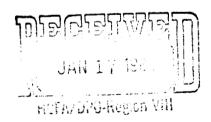
In order to care for the more acutely ill patient being admitted to Skilled Nursing Facilities from hospitals, a new level of care will be adopted.

Patients admitted requiring nursing care, rehabilitation and other services over and/or above usual circumstances will be classified as Intensive Skilled.

The Health Facilities Preadmission Unit will assess patients for this category of service. Classification in this area will be based on nursing home, patient assessment, length of stay and services required to meet individual patient's needs.

Health Care Financing will contract with all nursing homes admitting Intensive Skilled Care patients for specialized services meeting individual patient's needs.

If necessary, patients in this category should have available rehabilitative services to assist in restoring to maximum potential.



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T.N. #	

24 CFR 440.170

## PERSONAL CARE SERVICES IN A RECIPIENT'S HOME

#### LIMITATIONS

- 1. Personal care services are covered benefits when provided by a home health agency licensed in accordance with Utah Code Annotated, Title 26, Chapter 21. Services are delivered by a personal care aide or a home health aide (performing only personal care level tasks) who has obtained a certificate of completion from the State Office of Education, or a licensed practical nurse, or a licensed registered nurse. Personal care services are prescribed by a physician and are provided under the supervision of a registered nurse. Personal care services are not provided by a member of the recipient's family.
- Personal care services are covered benefits only for recipients who (a) receive services in their place of residence that is not an institution; (b) do not receive Medicaid home health aide services on the same day they receive personal care services.
- 3. Personal care services are limited to 60 hours per month.
- 4. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
  - a. that the proposed services are medically appropriate; and
  - b. that the proposed services are more cost effective than alternative services.

## TB RELATED SERVICES TO TB INFECTED INDIVIDUALS

OBRA 1993 Section 13603

### LIMITATIONS

- Directly Observed Therapy (DOT)/Behavior Modification services will provide for directly observed administration of tuberculosis medication, which means the direct observation of patients swallowing anti-tuberculosis medication. Recipients must be assessed as medically appropriate for DOT based upon the recipient's risk of non-adherence to medication regimen necessary to cure and prevent the spread of an infectious, potentially fatal disease that may not respond to conventional therapies. Services shall be furnished five or more days per week, unless otherwise ordered by the physician in the recipient's plan of care. This service is provided in accordance with a therapeutic goal in the plan of care. The plan of care will include a behavior modification program to aid in establishing a pattern of adherence to treatment. The behavior modification program will be developed on an individual basis based on the patient's history of noncompliance. Daily monitoring of adherence and behavior modification is necessary to ensure completion of the prescribed drug therapy, since inconsistent or incomplete treatment is likely to lead to drug resistance or reactivation, posing a major threat to the public health. DOT includes security services designed to encourage completion of medically necessary regimens of prescribed drugs by certain non-compliant TB infected individuals on an outpatient basis.
- 2. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
  - a. that the proposed services are medically appropriate; and
  - b. that the proposed services are more cost effective than alternative services.

TN No. 98-003Supersedes
Approval Date 08/23/99TN No. 94-003

#### EXTENDED SERVICES TO PREGNANT WOMEN

The following services are being expanded beyond limitation for all groups described and the services are provided only for pregnant women.

## A. Physician Services

# Risk Assessment

Risk assessment is the systematic review of relevant client data to identify potential problems and plan for care. Early identification of high risk pregnancies with appropriate consultation and intervention contribute significantly to an improved perinatal outcome and lowering of maternal and infant morbidity and mortality. The care plan for low risk clients incorporates a primary care service package and additional services specific to the needs of the individual client. High risk care includes referral to or consultation with an appropriate specialist, individualized counseling and services designed to address the particular risk factors involved. Risk assessment will be accomplished using the Utah Perinatal Record System or other formalized risk assessment tool. Consultation standards will be consistent with the Utah Medical Insurance Association guidelines.

Limited to two risk assessments during any 10-month period.

## Prenatal Assessment Visit (Initial Visit Only)

The initial prenatal visit for a new patient with a confirmed pregnancy, providing an evaluation of the mental and physical status of the patient, an in-depth family and medical history, physical examination, development of medical data, and initiation of a plan of care.

Limited to one visit in any 10-month period, to be used only when patient is referred immediately to a community practitioner because of identified risks or otherwise lost to follow-up because patient does not return.

# Single Prenatal Visit (Visit Other Than Initial Visit)

A single prenatal visit for an established patient who does not return to complete care for unknown reasons. Initial assessment visit was completed, plan of care established, one or two follow-up visits completed but no follow through with additional return visits.

Limited to a maximum of three visits in any 10-month period, to be used outside of global service, only when the patient is lost to follow-up for any reason.

# High Risk Pregnancy Care

High risk pregnancy as determined and reported through use of the formalized risk assessment tool shall be managed by physicians according to the Utah Medical Insurance Association guidelines. Additional reimbursement will be considered when criteria for high risk pregnancy care are met.

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Supersedes	Approval	Date_	01/04/95	Effective	Date_	10/01/94
T.N. # 88-5						

# B. Certified, Registered Nurse Midwife Services

#### Risk Assessment

Risk assessment is the systematic review of relevant client data to identify potential problems and plan for care. Early identification of high risk pregnancies with appropriate consultation and intervention contribute significantly to an improved perinatal outcome and lowering of maternal and infant morbidity and mortality. The care plan for low risk clients incorporates a primary care service package and additional services specific to the needs of the individual client. High risk care includes referral to or consultation with an appropriate specialist, individualized counseling, and services designed to address the particular risk factors involved. Risk assessment will be accomplished using the Utah Perinatal Record system or other formalized risk assessment tool. Consultation standards will be consistent with the Utah Medical Insurance Association guidelines.

Certified nurse midwives may care for some psychosocially or demographically high risk women according to written agreements with consulting physicians or admitting hospitals.

Limited to two risk assessments during any 10-month period.

## Prenatal Assessment Visit (Initial Visit Only)

The initial prenatal visit for a new patient with a confirmed pregnancy, providing an evaluation of the mental and physical status of the patient, an in-depth family and medical history, physical examination, development of medical data, and initiation of a plan of care.

Limited to one visit in any 10-month period, to be used only when patient is referred immediately to a community practitioner because of identified risks or otherwise lost to follow-up because patient does not return.

# Single Prenatal Visit (Visit Other Than Initial Visit

A single prenatal visit for an established patient who does not return to complete care for unknown reasons. Initial assessment visit was completed, plan of care established, one or two follow-up visits completed, but no follow through with additional return visits.

Limited to a maximum of three visits in any 10-month period, to be used outside of global service, only when the patient is lost to follow-up for any reason.

T.N.	# 44-025							
Super	sedes	Approval	Date	01/04/95	Effective	Date	10/01/94	
T.N.	# 68-5							

The following services are being added as certified registered nurse midwife services and provided only for pregnant women throughout pregnancy and up to the end of the month in which the 60 days following pregnancy ends.

# Perinatal Care Coordination

Perinatal care coordination is the process of planning and coordinating care and services to meet individual needs and maximize access to necessary medical, psychosocial, nutritional, educational, and other services for the pregnant woman.

### Prenatal and Postnatal Home Visits

Home visits can be included in the management plan of pregnant patients when there is a need to assess the home environment and implications for management of prenatal and postnatal care, to provide direct care, to encourage regular visits for prenatal care, to provide emotional support, to determine educational needs, to monitor progress, to make assessments, and to re-evaluate the plan of care.

Limited to no more than six visits during any 12-month period.

# Group Prenatal/Postnatal Education

Classroom learning experience for the purpose of improving the knowledge of pregnancy, labor, childbirth, parenting and infant care. The objective of this planned educational service is to promote informed self care, to prevent development of conditions which may complicate pregnancy, and to enhance early parenting and child care skills.

Limited to eight units during any 12-month period. One unit is equal to one class at least one hour in length.

The following services are being added for specific providers. These services will be limited only to pregnant women throughout pregnancy and up to the end of the month in which the 60 days following the pregnancy occurs.

C. Licensed, certified social worker, clinical psychologist, marriage and family counselor services.

# Prenatal and Postnatal Psychosocial Counseling

Psychosocial evaluation is provided to identify patients and families with high psychological and social risks, to develop a psychosocial care plan and provide or coordinate appropriate intervention, counseling or referral necessary to meet the identified needs of families.

Limited to 12 visits in any 12-month period.

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Supersedes	Approval	Date_	0104/95	Effective	Date	10/01/94	
T.N. # 88-5	- <b>-</b>						